

RECEIVED
CENTRAL FAX CENTER

001/017

NOV 24 2003

STROOCK

OFFICIAL

FACSIMILE

DATE November 24, 2003

TO	COMPANY NAME	FAX NO.	PHONE NO.
Examiner C.R. Kyle Group Art Unit 1754	U.S. Patent and Trademark Office	703-872-9306 703-305-7687	703-305-4458

TOTAL NO. OF PAGES	17 (including cover sheet)
FROM	Ian G. Dibernardo, Reg. No. 40,991
SENDER'S FAX NUMBER	212-806-6006
SENDER'S PHONE NO.	212-806-5867
SENDER'S EMAIL	IDIBERNARDO@stroock.com
ROOM NO.	3836
ATTORNEY NO.	3350
CLIENT/MATTER NAME	U.S. Patent Application No. 09/834,171
CLIENT/MATTER NO.	363779/0002

MESSAGE

Please bring these papers to Examiner Kyle

CONFIDENTIAL

The information contained in this facsimile is privileged and confidential, and is intended only for the use of the individual named above and others who have been specially authorized to receive such. If the recipient is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, or if any problems occur with this transmission, please notify us by telephone at 212 806-6102.

STROOCK & STROOCK & LAVAN LLP - NEW YORK - LOS ANGELES - MIAMI
180 MADISON AVENUE, NEW YORK, NY 10038-4982 TEL 212.806.5400 FAX 212.806.6006 WWW.STROOCK.COM

Docket No.
363779/0002

IGD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: **Seth I. Merrin et al.**Group Art Unit: **3624**Application No.: **09/834,171**Examiner: **Charles R. Kyle**Filed: **April 12, 2001**For: **ELECTRONIC SECURITIES MARKETPLACE HAVING
INTEGRATION WITH ORDER MANAGEMENT SYSTEMS**Date: **November 24, 2003**

**CERTIFICATE OF TRANSMISSION
BY FACSIMILE (37 C.F.R. § 1.8)**

Mail Stop RCE
Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

**Request for Continued Examination (RCE) Transmittal (PTO/SB/30) (1 pg) in duplicate;
and Supplemental Amendment (13 pgs)**

is being transmitted by facsimile to the United States Patent and Trademark Office in
accordance with 37 C.F.R. § 1.8 on the following date: **November 24, 2003**

Ian G. DiBernardo

(Typed Or Printed Name Of Person Signing this Certificate)

(Signature)